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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/551,990	03/06/2006	Kazutomo Inoue	2005_1502A	6411

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EXAMINER

GOUGH, TIFFANY MAUREEN

ART UNIT PAPER NUMBER

1657

DATE MAILED: 10/23/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/551,990	<b>Applicant(s)</b> INOUE ET AL.	
	<b>Examiner</b> Tiffany M. Gough	<b>Art Unit</b> 1651	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 25 September 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-9, 17 and 18 is/are pending in the application.
- 4a) Of the above claim(s) 10-16 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-9, 17 and 18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 10/04/2005.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

***Election/Restrictions***

Applicant's election without traverse of claims 1-9 and 17, and their species, pancreatic islet cells, along with the addition of new claim 18, in the reply filed on 09/25/2006 is acknowledged.

Claims 10-16 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention.

Claims 1-9, 17 and 18 will be considered on the merits, in so far as they read of the elected species.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 17 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

It is not particularly clear if applicant is claiming the cellular preparation to be used as a medicine, or if the cells used in the cellular preparation secrete a factor which may be used as a medicine for a human or an animal. It is unclear how the PVA can be used as a medicine.

***Claim Rejections - 35 USC § 102***

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-5,7 and 18 are rejected under 35 U.S.C. 102(b) as being anticipated by Aung et al (Transplantation Proceedings, vol. 27, no. 1, 1995).

Applicant claims a cellular preparation comprising pancreatic islet cells in polyvinyl alcohol mixed with a cell preservative, an extracellular matrix and growth factor. The cellular preparation has a tubular, rod, plate, sheet or bead-like shape.

Aung teaches a pancreatic islet cell preparation in RPMI medium, i.e. a cell preservative, mixed with collagen, i.e., an extracellular matrix, and fetal bovine serum (FBS), i.e. a growth factor, in a mesh reinforced polyvinyl alcohol tube (Materials and Methods section p.619).

Thus, the reference anticipates the claimed subject matter.

Claims 1,3,5-7,9 are rejected under 35 U.S.C. 102(b) as being anticipated by Hayashi et al (Transplantation Proceedings, vol. 27, no. 6, December 1995).

Applicant claims a cellular preparation comprising transformed cells in polyvinyl alcohol mixed with a cell preservative and growth factor. The cellular preparation has a tubular, rod, plate, sheet or bead-like shape and is transplanted intraabdominally.

Hayashi et al teach a MIN6 B-cell line, i.e. transformed cells cultured in DMEM, i.e. a cell preservative with fetal bovine serum (FBS), i.e. a growth factor, in a mesh

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reinforced polyvinyl alcohol tube which is transplanted into the peritoneal cavity of rats (see p.3358 Materials and Methods section continued to p.3359, 1<sup>st</sup> paragraph).

Although, the references do not teach the FBS to specifically be a growth factor, a growth factor is defined as a substance that affects the growth of organisms or cells (see <http://www.xreferplus.com>).

Therefore, the reference anticipates the claimed subject matter.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

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consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1,3-9, and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Inoue et al (Pancreas, 1992) and Mitsuo et al (Transplantation Proceedings, 1992) in view of Kanazawa et al (Cell Transplantation, 1999) and Inui et al (Pancreas, 2001).

Applicant claims a cellular preparation comprising pancreatic islet cells in polyvinyl alcohol mixed with a cell preservative such as Euro–Collins, UW, or Cell Banker solutions, and growth factor, which is implanted subcutaneously, intraabdominally, or intramuscularly. The cellular preparation has a tubular, rod, plate, sheet or bead-like shape.

Inoue et al (Pancreas, 1992) teach a cellular preparation comprising pancreatic islet cells in polyvinyl alcohol (PVA) transplanted into the peritoneal cavity of rats. The polyvinyl alcohol membrane allows the passage of insulin, glucose, and nutrients to patients in which the cell preparation had been transplanted into (see summary). The membrane is tubular and rod-like in shape (see materials and methods section). The PVA membrane is a promising membrane satisfying the requirements for a bioartificial pancreas: it has good permeability of insulin, glucose and nutrients, but not for immunological macromolecules and insignificant encapsulation around the hydrogel membrane after implantation (see Discussion section, 2<sup>nd</sup> paragraph). Further, they

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disclose that the entrapment of pancreatic islet cells in a polyvinyl alcohol membrane is more effective in inducing a sustained decrease in nonfasting blood glucose levels in diabetic rats without the use of immunosuppressive therapy than the transplantation of free islets, thus the PVA membrane could provide total protection of islet cells from the graft rejection and autoimmune destruction while eliminating the need for immunosuppression (see p.567, 1<sup>st</sup> full paragraph).

Mitsuo et al (Transplantation Proceedings, 1992) teach pancreatic islet cells in a PVA tube membrane which is transplanted intraabdominally into a recipient (see p. 2939, Islet isolation and MRPT implantation section).

Neither Inoue or Mitsuo teach a cell preservative.

Kanazawa et al (Cell Transplantation, 1999) teach islet cells in a cell preservative, specifically UW solution and Euro-Collins solution. They disclose UW solution as being a successful islet cell preservative when the cells are used for transplantation and is especially useful in preserving the insulin secretion properties of the islet cells after cold storage (see abstract, introduction, results section, and p.388 5<sup>th</sup> paragraph).

Inui et al (Pancreas, 2001) teach that clinical pancreatic islet transplantation requires cold storage of islets for several hours, thus there is a need for optimal storing/preservation of the cells. They disclose UW solution is the best solution for such purposes. Further, they teach pancreatic islet cells in RPMI medium with FBS, i.e. a growth factor.

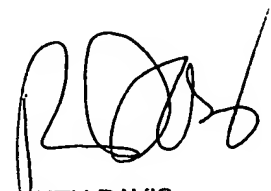
It would have been obvious to one of ordinary skill in the art at the time the invention was made to have used a cell preservative such as those taught by Kanazawa and Inui in a cellular preparation such as those disclosed by Inuoe and Mitsuo because the cell preservatives are known in the art as being successful in storing and preserving islet cells and especially successful in preserving the insulin secreting properties. Thus, improving the stability of transplantable islet cells would be obvious to one of ordinary skill in the art.

One of ordinary skill in the art would have been motivated to use a cell preservative such as those taught by Kanazawa and Inui in a cellular preparation such as those disclosed by Inuoe and Mitsuo because the cell preservatives are known in the art as being successful in storing and preserving islet cells and especially successful in preserving the insulin secreting properties. Thus, improving the stability of transplantable islet cells would be motivation to use a cell preservative such as those claimed by applicant and taught by Kanazawa and Inui. Further, one would have expected success in using such preservatives because they are known in the art to be successful in preserving islet cells used for transplantation.

***Conclusion***

No claims are allowed.

RUTH DAVIS  
PRIMARY EXAMINER

A handwritten signature in black ink, appearing to read 'Ruth Davis', is written over the printed name and title.

RUTH DAVIS  
PRIMARY EXAMINER



Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tiffany M. Gough whose telephone number is 571-272-0697. The examiner can normally be reached on M-F 8-5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon Weber can be reached on 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Tiffany Gough